



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas E. Tarara et al.

Art Unit: 1616

Serial No.: 10/612,393

Examiner: Sharmila S. Gollamudi

Filed : July 3, 2003

Title : ENGINEERED PARTICLES AND METHODS OF USE

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/886,296, filed on June 21, 2001. With the exception of the following references, all references listed on the attached PTO-1449 form were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application:

### **Foreign Patents:**

1.	CA 2036844	Canada	08/23/1991
2.	CA 2136704	Canada	05/28/1995
3.	EP 0655237	Europe	05/31/1995
4.	EP 0391896	Europe	03/02/1994
5.	EP 0493437	Europe	08/02/1995
6.	EP 0513127	Europe	07/19/1995
7.	EP 0539522	Europe	12/30/1998
8.	EP 0553298	Europe	11/17/1994
9.	EP 0556256	Europe	08/30/1995
10.	EP 0587790	Europe	01/03/1996

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November 9, 2005

Applicant: Thomas E. Tarara et al.

Attorney's Docket No.: 16614-030001

Serial No.: 10/612,393 Filed: July 3, 2003 Page: 2 of 2

11.	EP 0588897	Europe	02/28/1996
12.	EP 0605578	Europe	01/10/1996
13.	EP 0656206	Europe	06/07/1995
14.	EP 0658101	Europe	06/21/1995

# **Non-Patent References**

- 15. Mutterlein, et al., "New technology for generating inhalation aerosols—preliminary results with the piezoelectrical pocket-inhaler", J. Aerosol Med., 1:231 (1988)
- 16. "Aerosols, Metered-Dose Inhalers, and Dry Powder Inhalers", Pharmacopeial Forum, 22(6): 3065 (1996)

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 9, 2005

Jenniter A. Zanoco Reg. No. 54,563

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 16614-030001

Application No. 10/612,393

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Applicant Thomas E. Tarara et al.

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Filing Date July 3, 2003 Group Art Unit 1616

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate

	Foreig	n Patent Doo	cuments or P	ublished Foreign	Patent A	Application		
Examiner	Desig.	Document	Publication	Country or				lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No.
	1	AU 714998	11/19/1997	Australia				
	2	CA 2036844	08/23/1991	Canada				
	3	CA 2136704	05/28/1995	Canada				
	4	EP 0655237	05/31/1995	Europe				
	5	EP 0391896	03/02/1994	Europe				
	6	EP 0493437	08/02/1995	Europe				
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	10	EP 0556256	08/30/1995	Europe				
	11	EP 0587790	01/03/1996	Europe		ji i		
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	13	EP 0605578	01/10/1996	Europe				
	14	EP 0656206	06/07/1995	Europe				
	15	EP 0658101	06/21/1995	Europe				
	16	JP 03038592	02/19/1991	Japan				

(	Other Documents (include Author, Title, Date, and Place of Publication)				
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	17	Ahlneck et al., "The Molecular Basis of Moisture Effects on the Physical and Chemical Stability of Drugs in the Solid State", Int. J. of Pharmaceuticals, 62:87-95 (1990)			
	18	Altenbach et al., "Ca2+ Binding to Phosphatidycholine Bilayers As Studied by Deuterium Magnetic Resonance. Evidence for the Formulation of a Ca2+ Complex with Two Phospholipid Molecules" Biochem. 23:3913-3920 (1984)			

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not inext communication to applicant.	in conformance and not considered. Include copy of this form with  Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 16614-030001	Application No. 10/612,393	
		losure Statement plicant	Applicant Thomas E. Tarara et al.		
(Use several sheets if necessary)		Filing Date July 3, 2003	Group Art Unit 1616		

(	Other D	ocuments (include Author, Title, Date, and Place of Publication)
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muar	19	Babincova et al., "Dextran Enhances Calcium-Induced Aggregation of Phosphatidylserine Liposomes: Possible Implications for Exocytosis", Physiol. Res., 48(4):319-321 (1999)
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	21	Buldt et al., "Neutron Diffraction Studies on Phosphatidylcholine Model Membranes", J. Mol. Biol. 123:673-691 (1979)
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	Other D	ocuments (include Author, Title, Date, and Place of Publication)
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Initial	ID	Document    No. 1   No. 2   No
	33	Huster et al., "Investigation of Phospholipid Area Compression Induced by Calcium-Mediated Dextran Sulfate Interaction", Biophys. J., 77(2):879-887 (1999)
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	35	Jacobson et al. "Phase Transitions and Phase Separations in Phospholipid Membranes Induced by Changes in Temperature, pH, and Concentration of Bivalent Cations" Biochem. 14(1):152-161 (1975)
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	47	Satoh, Koichi, "Determination of Binding Constants of Ca2+, Na+, and Cl- Ions to Liposomal Membranes of Dipalmitoylphosphatidylcholine at Gel Phase by Particle Electrophoresis", Biochem. Biophys. Acta 1239:239-248 (1995)
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